



# **Advanced Highway Maintenance and Construction Technology Research Center**

Department of Mechanical and Aerospace Engineering  
University of California at Davis

## **Creating Standards and Specifications for the Use of Laser Scanning (Ground-Based LIDAR) in Caltrans Projects**

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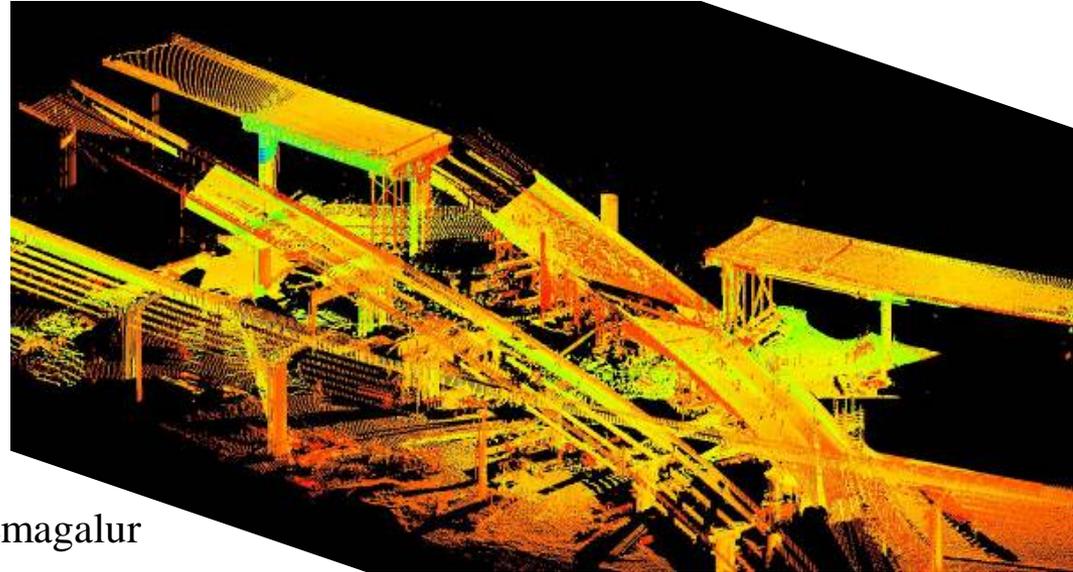
April 26, 2013

## **California Department of Transportation**

Division of Research and Innovation

# Creating Standards and Specifications for the Use of Laser Scanning (Ground-Based LIDAR) in Caltrans Projects

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Advanced Highway Maintenance and Construction Technology (AHMCT) Research Center

University of California - Davis

# Outline

- Project objectives
- Test results and conclusions
- Recommendations
  - Scanner selection guidelines
  - Software selection guidelines
  - Work-flow & QA/QC
  - Data exchange & archival format
  - Contractor deliverables
- Remaining work

# Motivation

- Difficulty in directly comparing vendor specs, e.g.:

## Accuracy:

- Vendor 1: Position: 6 mm, at 1 – 50 m, one sigma
- Vendor 2: Single-point: 12 mm at 100 m

## Range:

- Vendor 1: Range: 300 m @ 90%, 134 m @ 18% albedo
- Vendor 1: Range: 200 m @ 90%, 350 m (w. OverScan)
- Relating specs to highway applications, surveys
- Establish independent, controlled, standardized test methodology, testbed, and fixtures

# Project Goals

- Coordinated set of standards and specifications for the use of 3D laser scanning for Caltrans and its contractors
- Enable / promote increased use of 3D laser scanning in surveys to improve efficiency and safety
- Laser scanner hardware and software evaluations
  - Hard-surface accuracy requirement: 10 mm (0.03 ft) H x 7 mm (0.02 ft) V
  - Earth surface accuracy requirement: 30 mm (0.1 ft) H x 30 mm (0.1 ft) V
- Clarify common limitations of 3D laser scanners and recommend methods for their mitigation

1. Schulz, T., Ingensand, H., Steiner, M., "Laser Scanning and Noise Reduction applied to 3D Road Surface Analysis", 2005.
2. Schulz, t., Ingensand, H., Influencing Variable, "Precision and Accuracy of Terrestrial Laser Scanners", *Proceedings of the FIG working week*, 2004.
3. W. Boehler, M. Bordas Vicent, and A. Marbs, "Investigating Laser Scanner Accuracy, Updated 2004," i3mainz, Institute for Spatial Information and Surveying Technology
4. G. Jacobs, "Understanding the "Useful Range" of Laser Scanners," *Professional Surveyor Magazine*, 2004.
5. 6. E.J. Jaselskis, Z. Gao, A. Welch, and D. O'Brien, "Pilot Study on Laser Scanning Technology for Transportation Projects," in *Mid-Continent Transportation Research Symposium*, Ames, Iowa, August, 2003.
7. W. Boehler and A. Marbs, "3D Scanning Instruments," in *The International Archives of Photogrammetry, Remote Sensing and Spatial Information Sciences*, Corfu, Greece, 2002.
8. W. Boehler, G. Heinz, and A. Marbs, "The Potential of Non-Contact Close Range Laser Scanners for Cultural Heritage Recording," in *CIPA International Symposium*, Potsdam, Germany, 2001.

# NIST/ASTM Committee E57

Development of standards for 3D imaging systems, which include, but are not limited to laser scanners (also known as LADAR or laser radars) and optical range cameras (also known as flash LADAR or 3D range camera).

<http://www.astm.org/COMMIT/SUBCOMMIT/E57.htm>

# What previous studies lack

- Long-range test data (on and off pavement)
- Data on latest scanners
- DOT, surveys, and engineering perspectives
- Software evaluation
- Work-flow analysis
- Best data exchange methods
- Best practices on geo-reference target setup

# Four Vendors Participated

Intelisum  
Riegl  
Z-210i &  
Z-420i



Optech  
ILRIS-3D



Leica  
ScanStation



Trimble  
GX



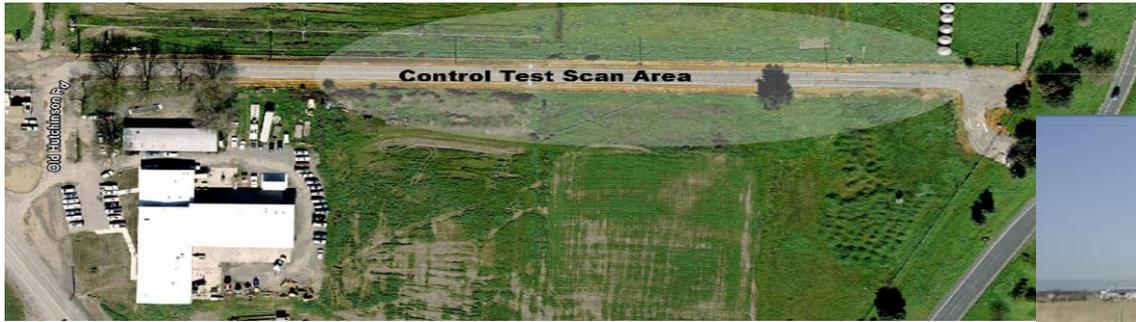


# Control Test

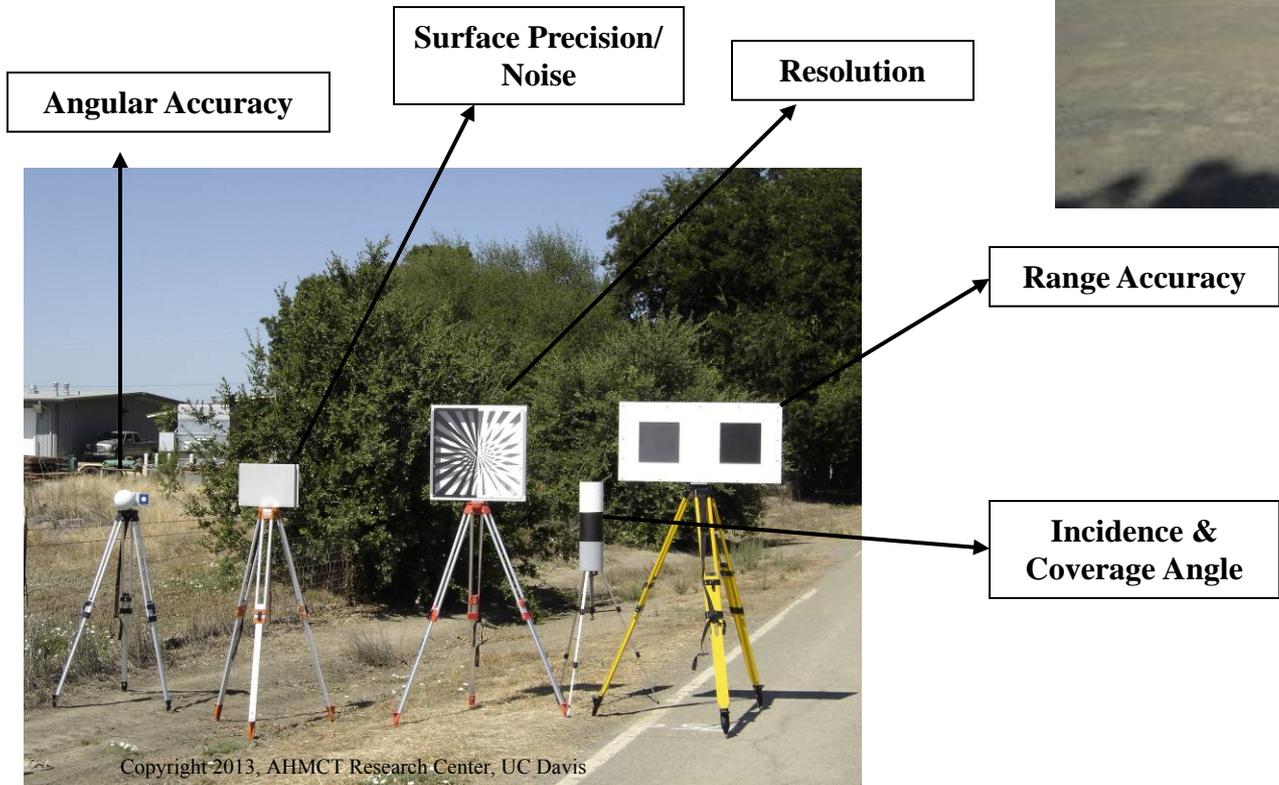
- “Repeatable”
- Test for useful range
  - Depends on return signal strength,
  - which depends on range, surface reflectivity, and laser incident angle
- “Resolution”
- Range Precision
- Angular precision
- Target recognition accuracy
- Compare to data measured by conventional means



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Control Test Scan Area – Old Hutch Rd, 500m of asphalt road surface

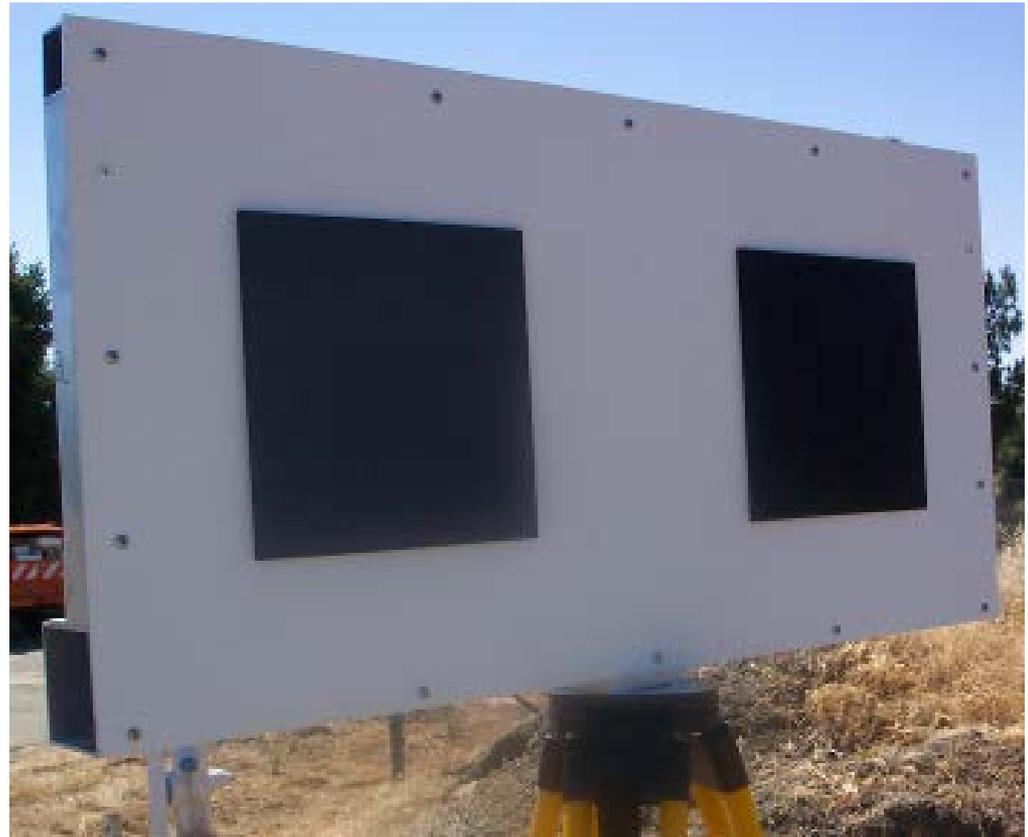


# Control Tests – Range Accuracy

Test Fixture at 25, 50, 75, and 100 m.

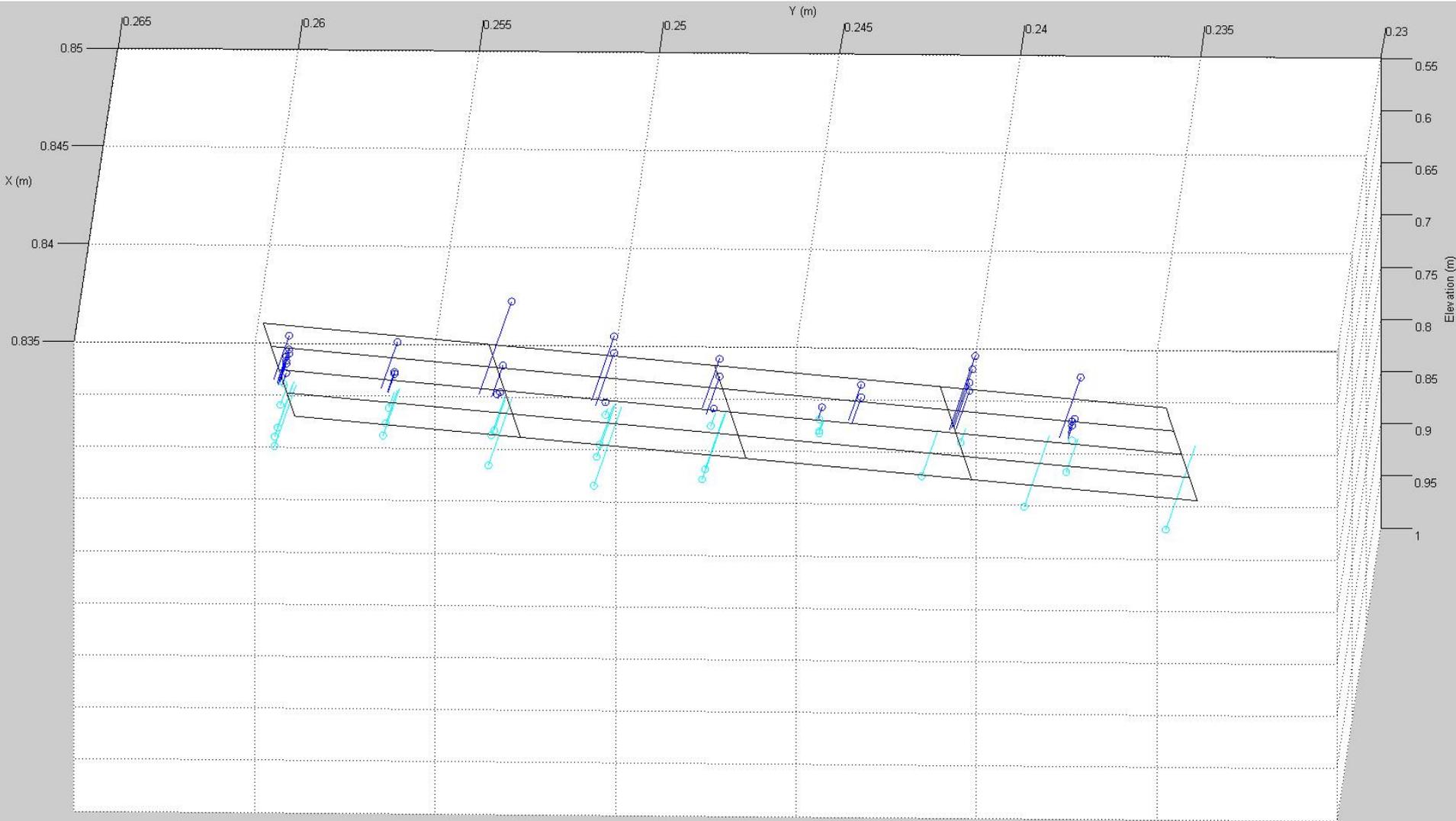
Also scanning black asphalt pavement up to 120 m

**Range Accuracy  
Test Fixture**



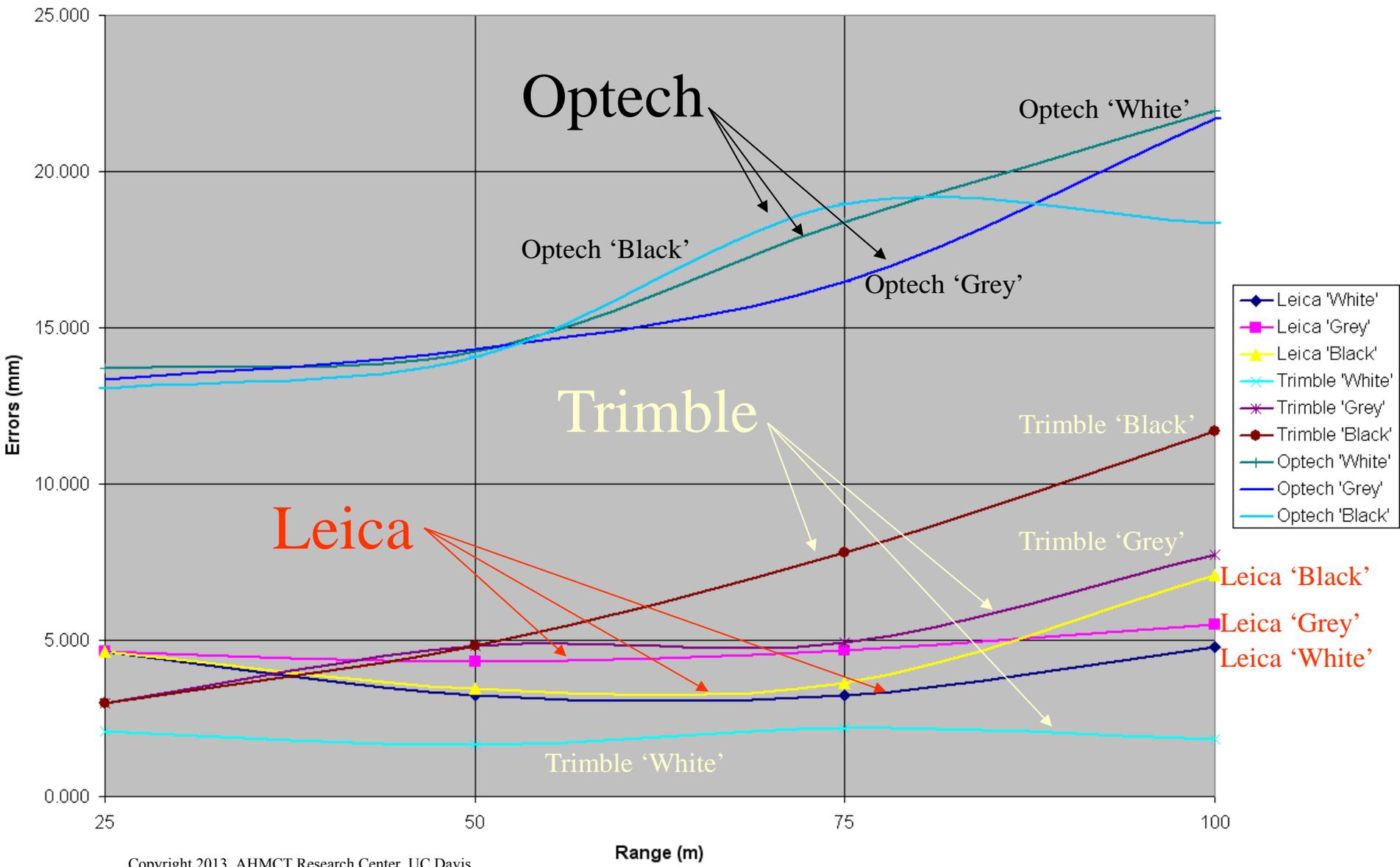
# Range Accuracy RMS Results @ 95% Confidence Interval

		Range Accuracy: Root Mean Square of Residual Errors (mm)			
3D Laser Scanner Manufacturer	Reflectivity Color	25 m	50 m	75 m	100 m
Leica	White	4.65	3.23	3.23	4.78
	Grey	4.72	4.31	4.68	5.49
	Black	4.72	3.45	3.65	7.08
Trimble	White	2.10	1.65	2.20	1.84
	Grey	2.98	4.82	4.92	7.74
	Black	3.00	4.82	7.80	11.70
Optech	White	13.70	14.25	18.40	21.95
	Grey	13.30	14.31	16.48	21.76
	Black	13.07	14.07	18.93	18.37



**Range Errors plotted with interpolated surface and laser point cloud**

# RMS of Range Errors for different Surface Reflectivity

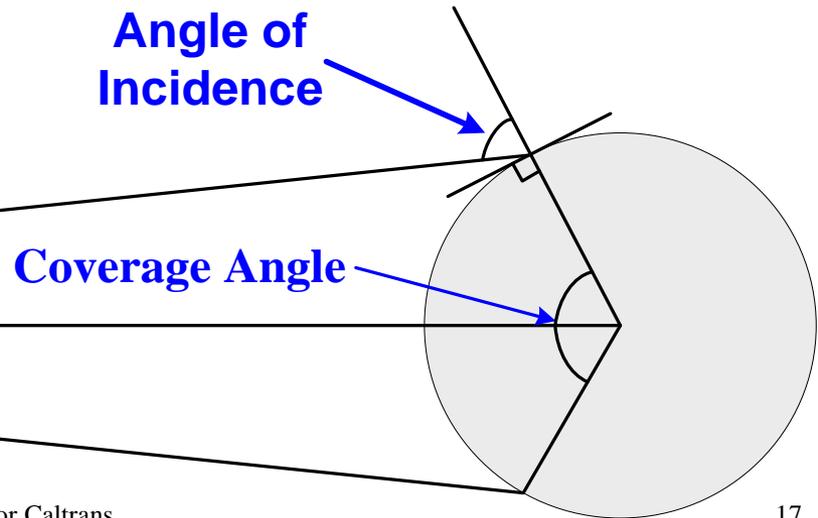


# Control Tests – Incidence & Coverage Angle

Test Fixture at 25, 50, 75, and 100 m.  
Three surface reflectivity levels

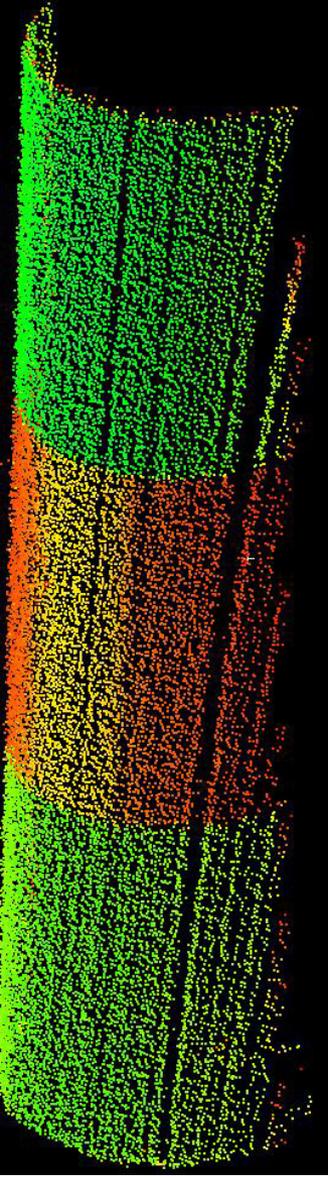


**Incidence Angle  
Test Fixture**

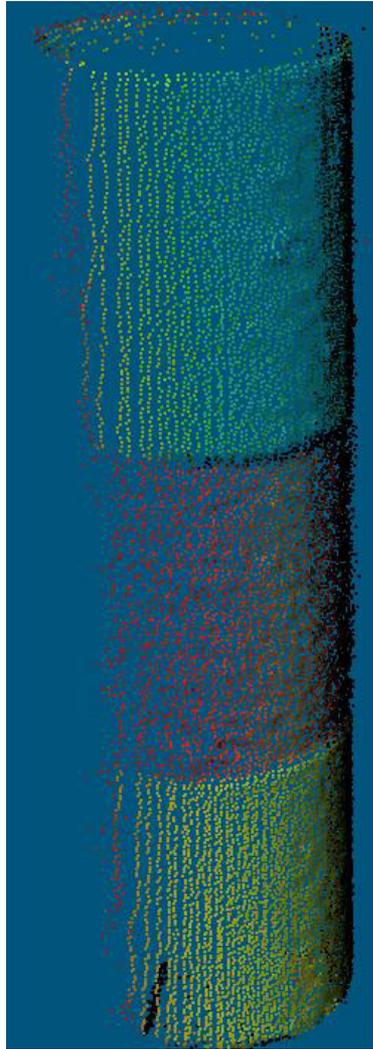


Zenith Angle =  $180 - \text{Angle of Incidence}$

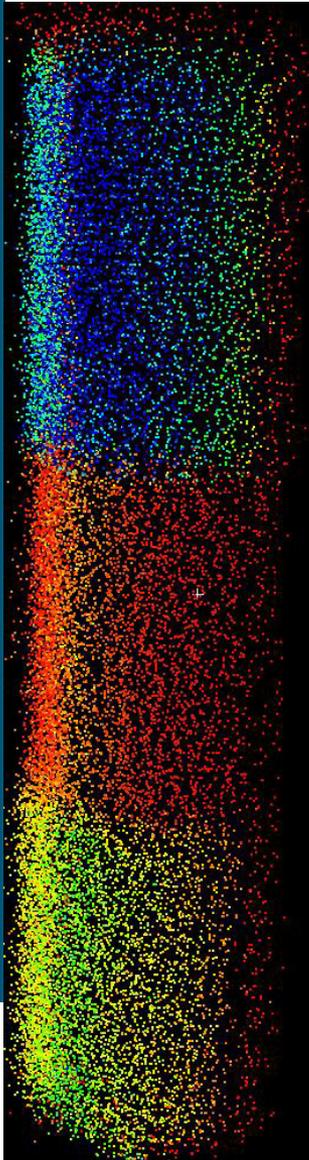
25 m



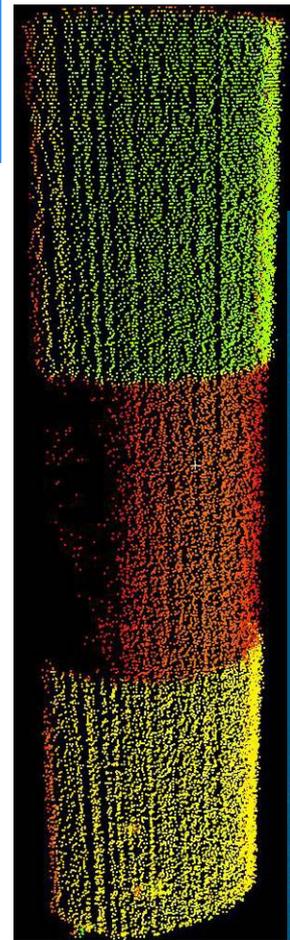
Trimble



Optech



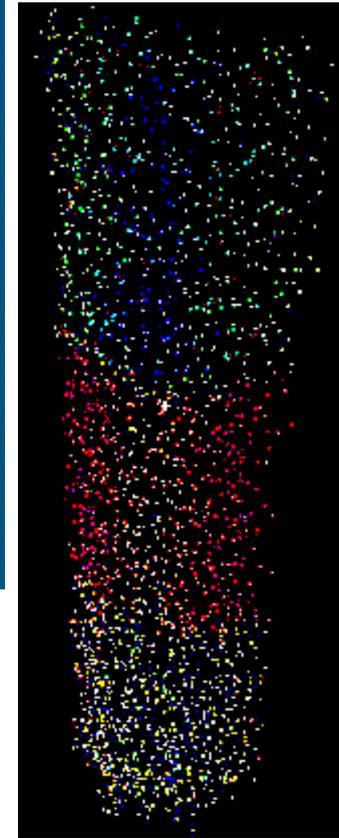
50 m



Trimble

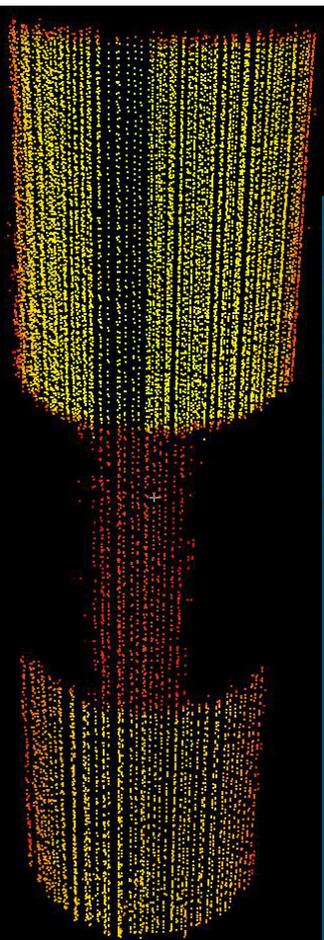


Optech

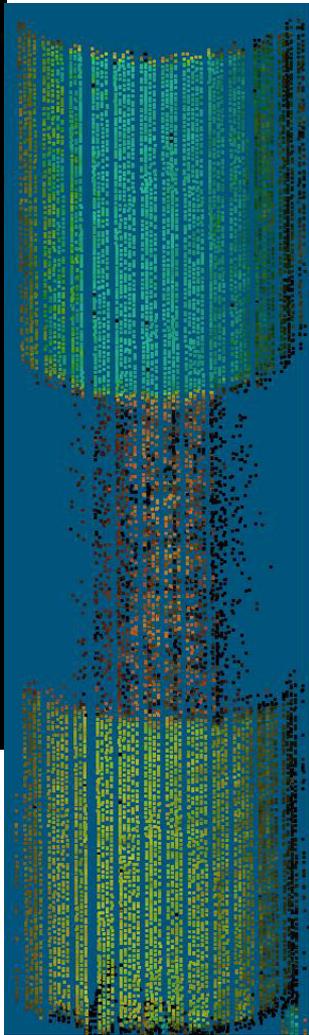


Leica

Leica



Leica

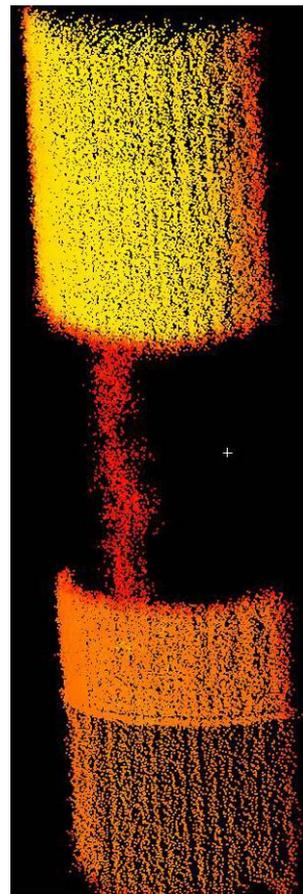


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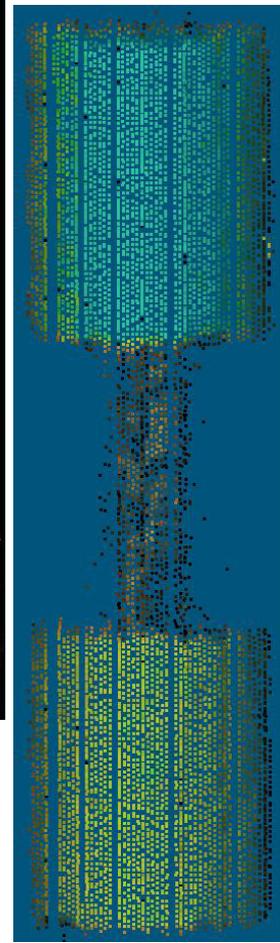
75 m



Optech

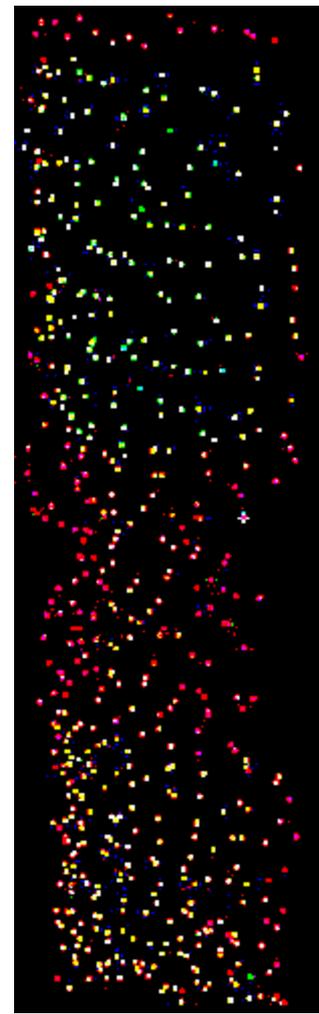


Leica



Trimble

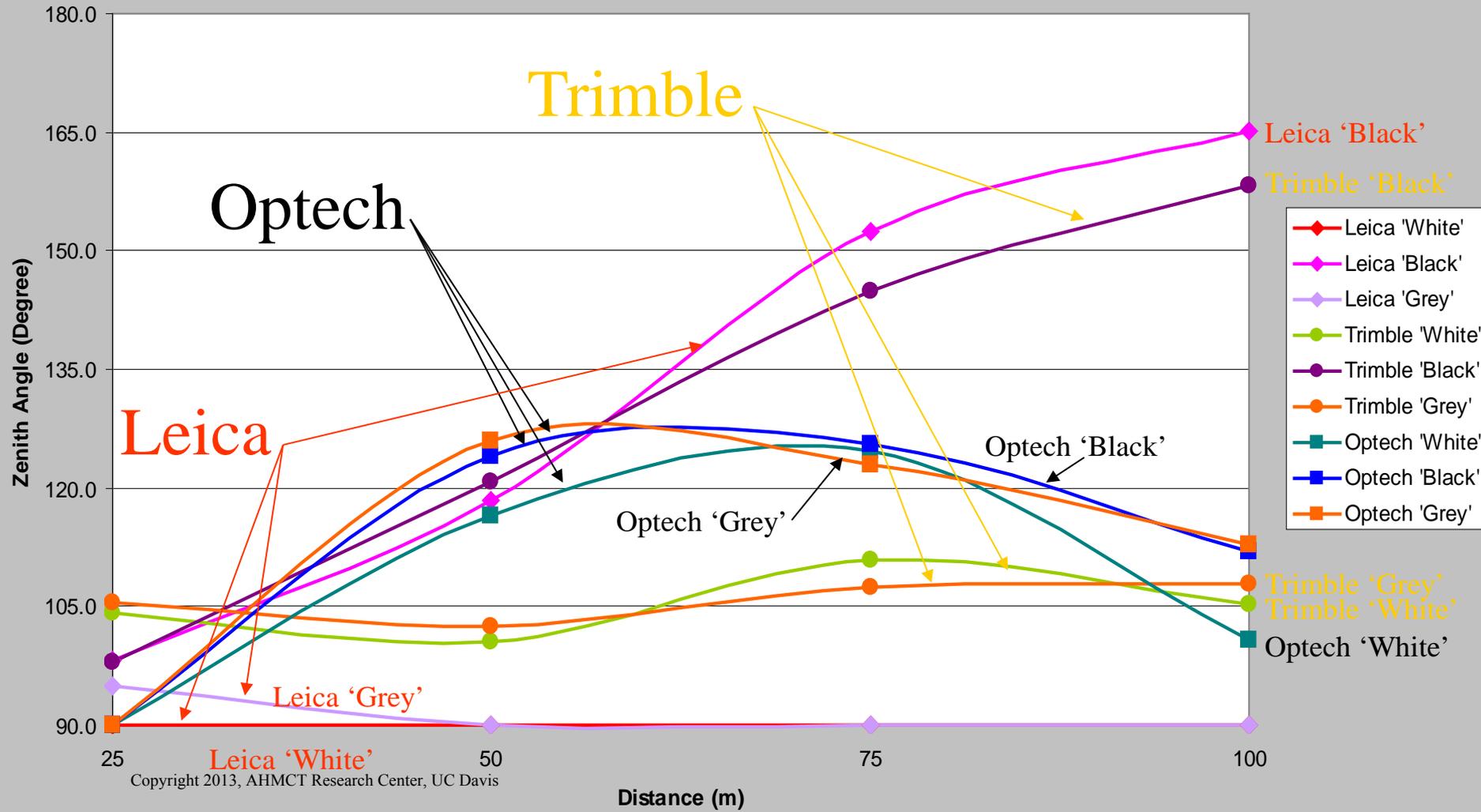
100 m



Optech

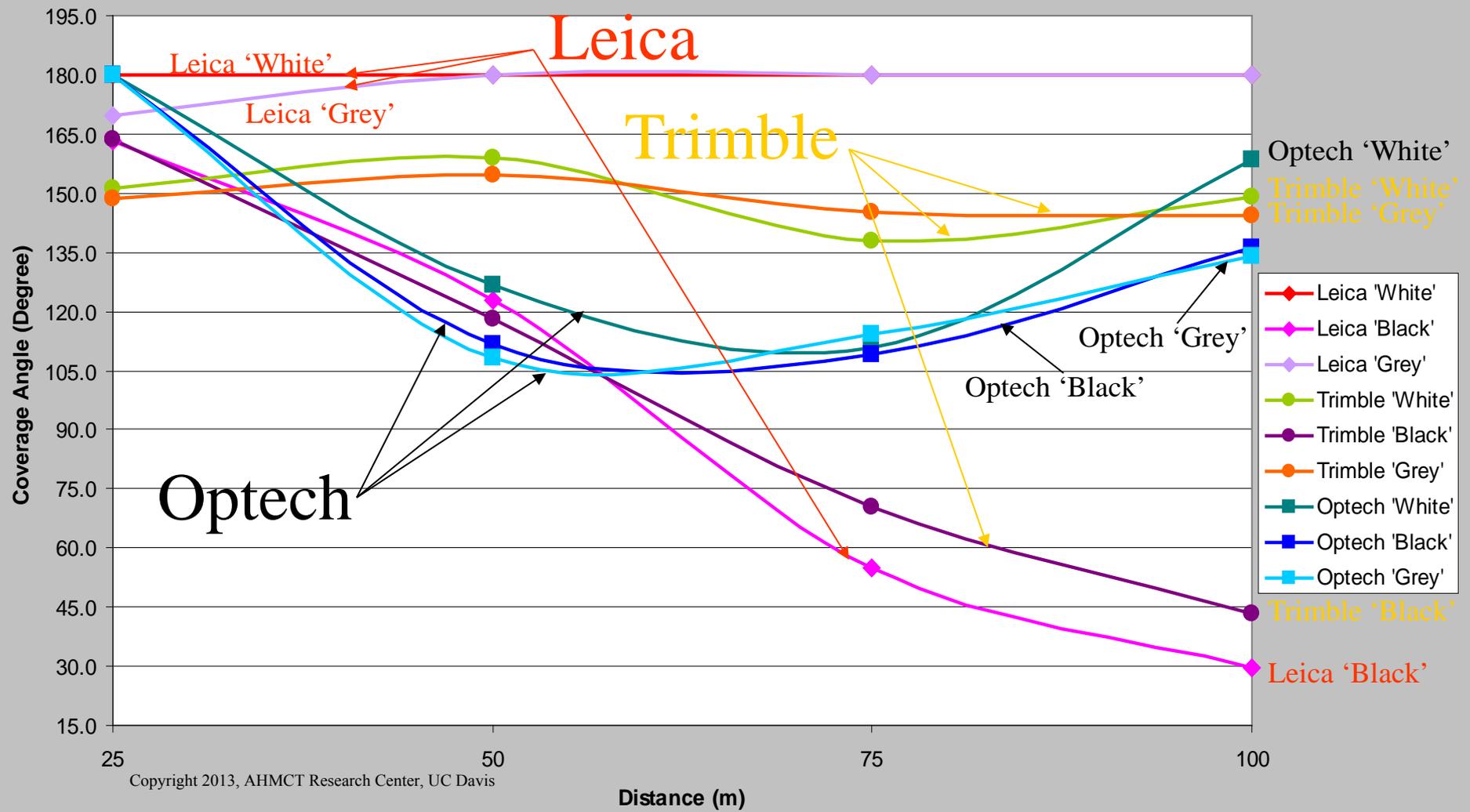
# Zenith Angle at various Distances & Reflectivity Levels (surface color)

Zenith Angle at Various Distances and Reflectivity Level



# Coverage Angle at various Distances & Reflectivity Levels (surface color)

Coverage Angle at Various Distances and Reflectivity Level

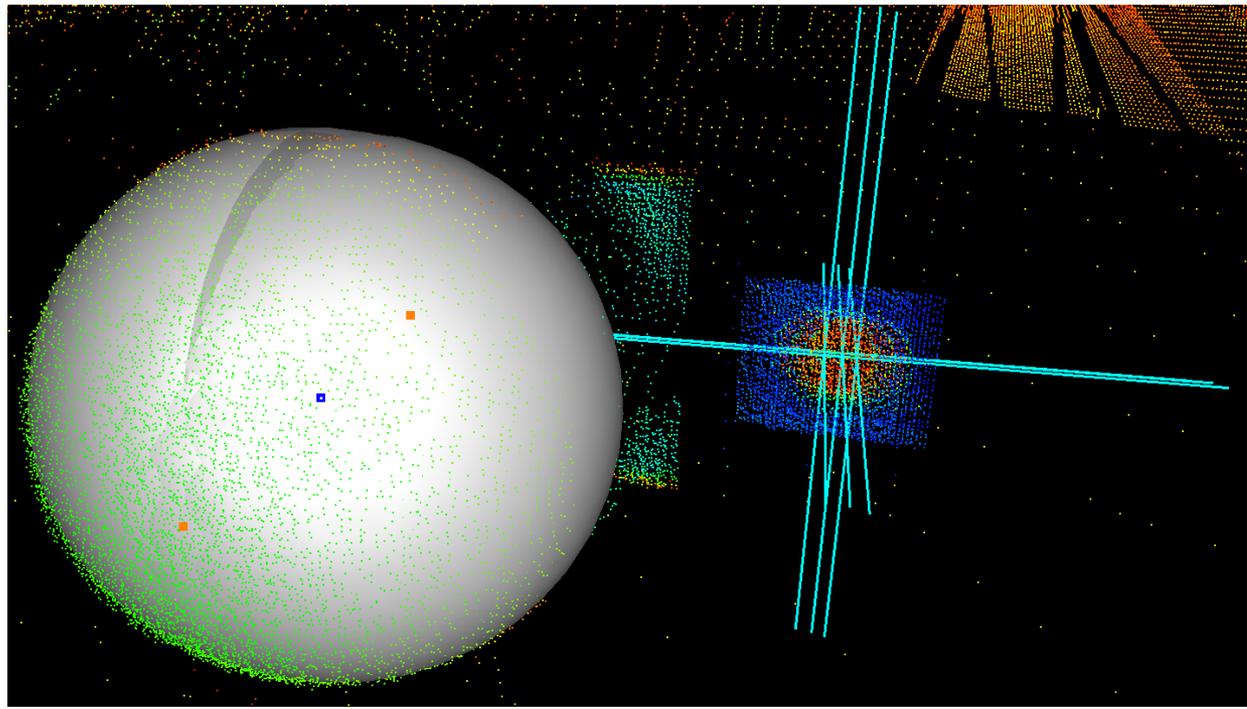


# Control Tests – Angular Accuracy

Test Fixture at 25 and 75 m.  
Vendor-specific target as available.

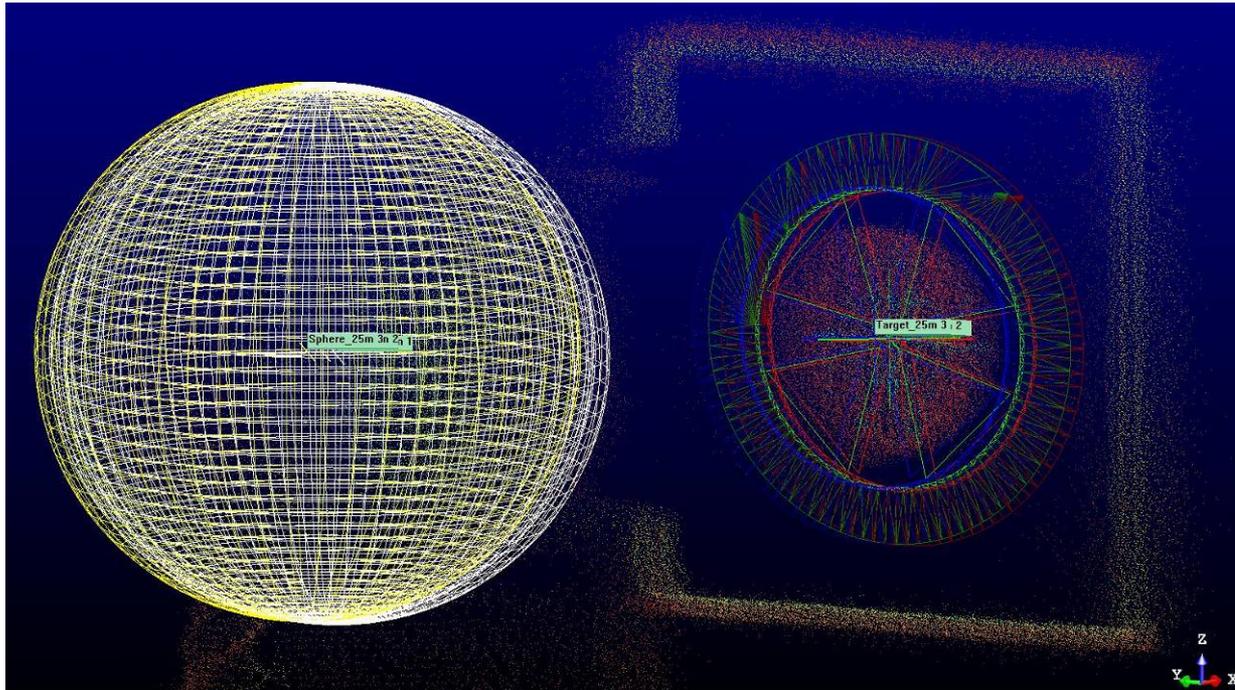


**Angular Accuracy  
Test Fixture**



Error (mm)	@25 m	@75 m
Leica Target (Translation 1)	-0.67	0.43
Leica Target (Translation 2)	-0.65	0.59
6" Sphere (Translation 1)	-0.71	0.92
6" Sphere (Translation 2)	-0.72	1.15

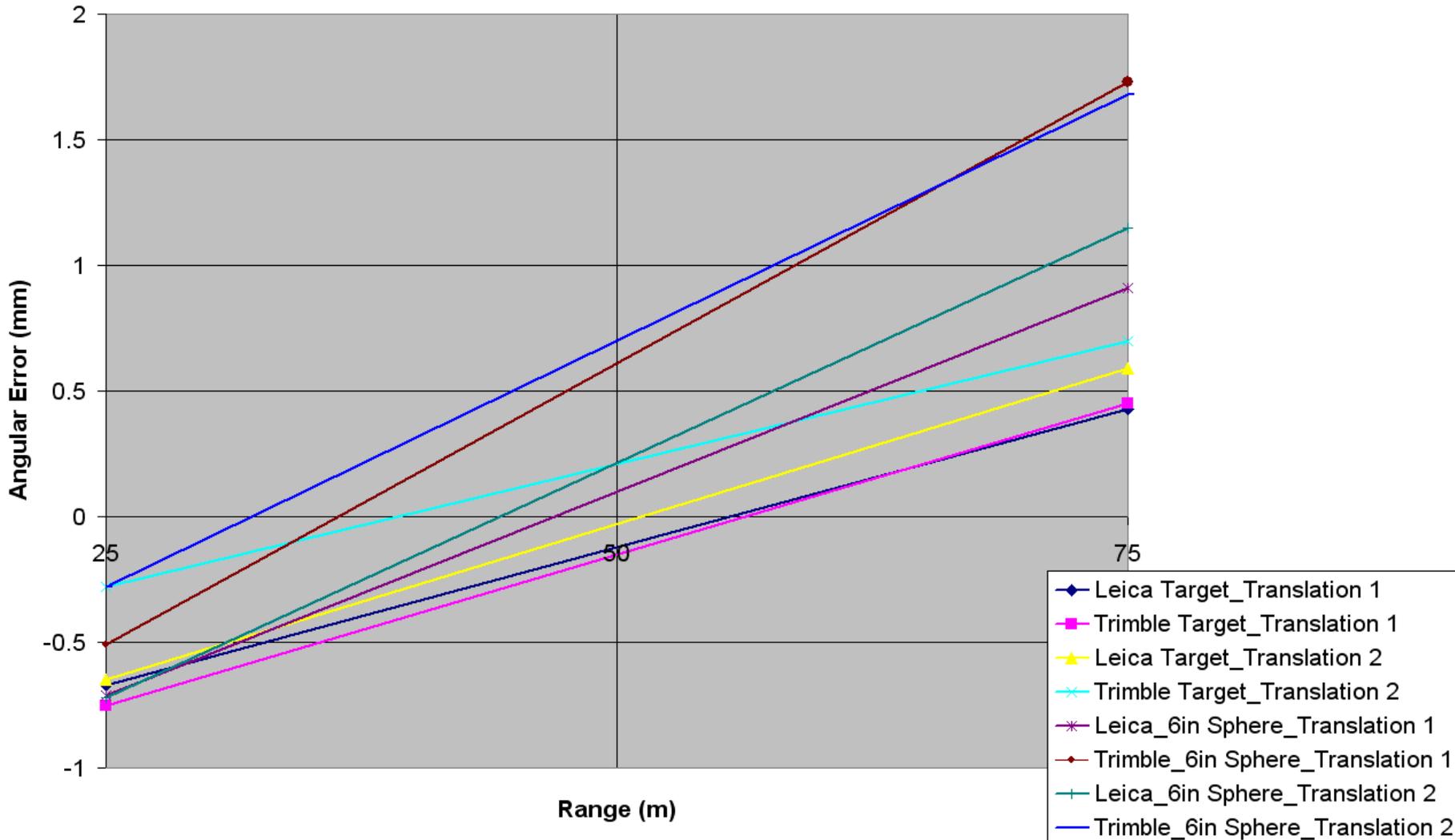
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Error (mm)	@25 m	@75 m
Trimble Target (Translation 1)	-0.75	0.45
Trimble Target (Translation 2)	-0.28	0.70
6" Sphere (Translation 1)	-0.51	1.73
6" Sphere (Translation 2)	-0.28	1.68

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### Angular Accuracy Estimates using Sphere and Vendor Targets

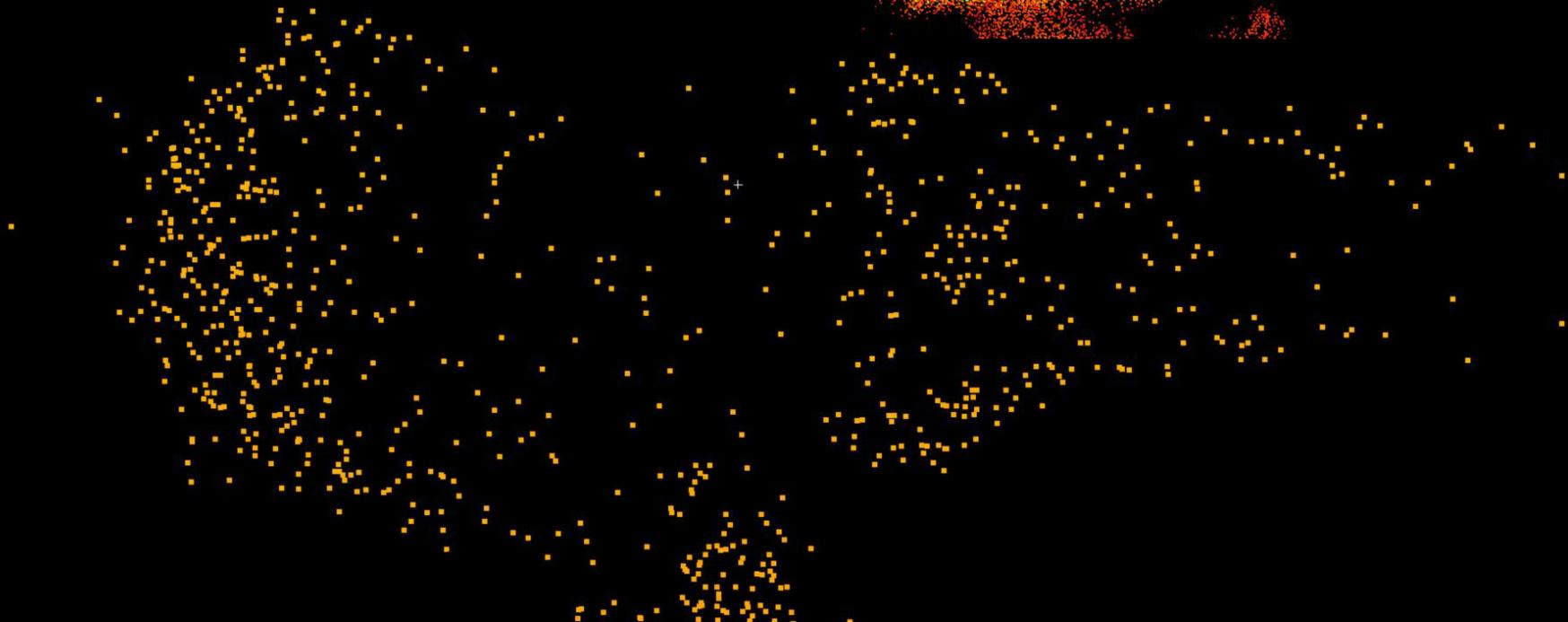
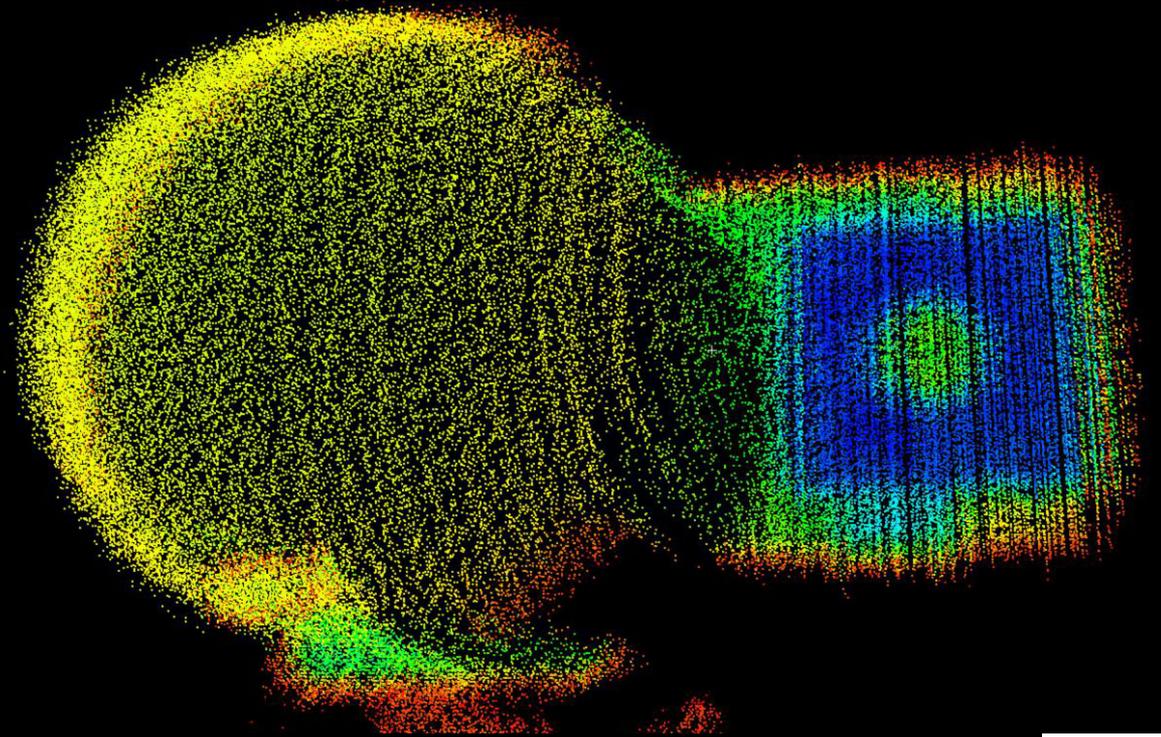




Leica

@ 75 m

Optech

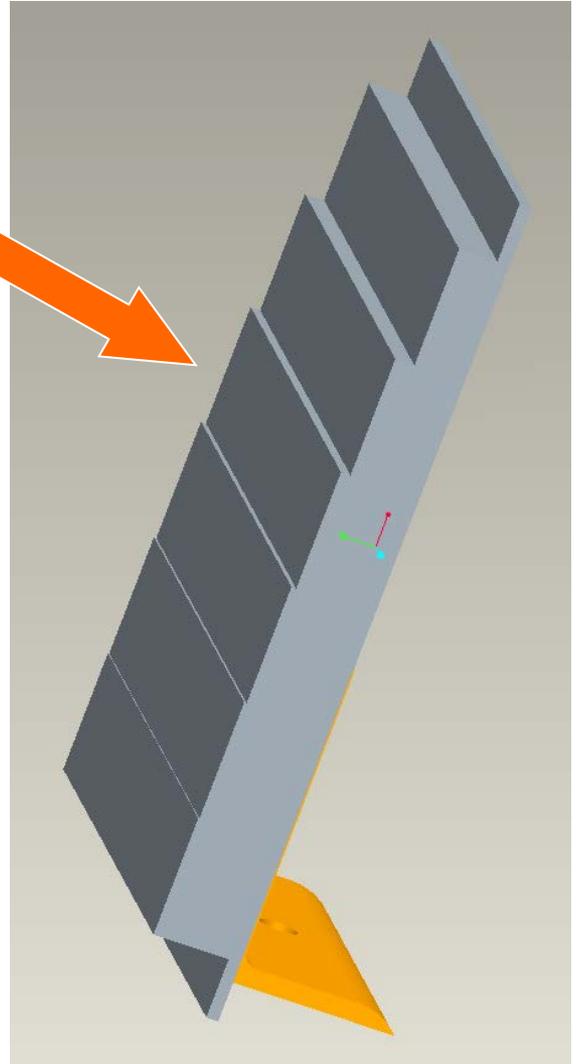
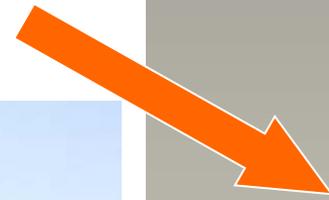


# Control Tests – Surface Precision

Test Fixture at 25, 50, 75, and 100 m. Two reflectivity levels.



Line of sight of  
3D Laser Scanner –  
for edge effects



**Point Accuracy /  
Surface Precision  
& Noise Test Fixture**

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@ 25 m

# Leica ScanStation

@ 50 m

@ 75 m

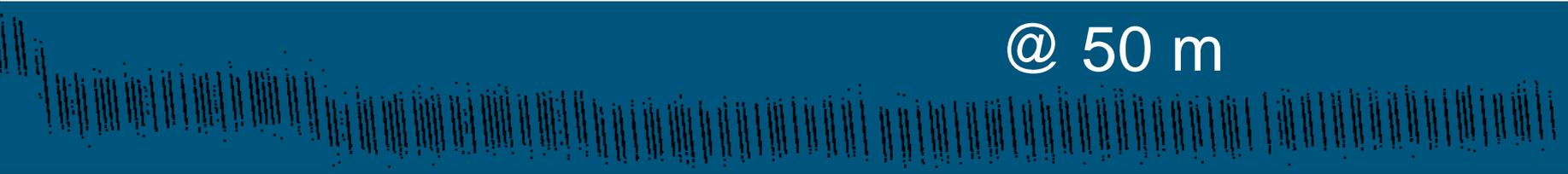
@ 100 m

# Trimble GX

@ 25 m

A horizontal strip of LIDAR point cloud data at a 25m resolution. The points are densely packed, forming a thick, dark blue band that represents the ground surface. The points are most concentrated in the center and become sparser towards the edges.

@ 50 m

A horizontal strip of LIDAR point cloud data at a 50m resolution. The points are more widely spaced than in the 25m strip, appearing as a series of vertical lines of points. The overall shape of the ground surface is still visible but with less detail.

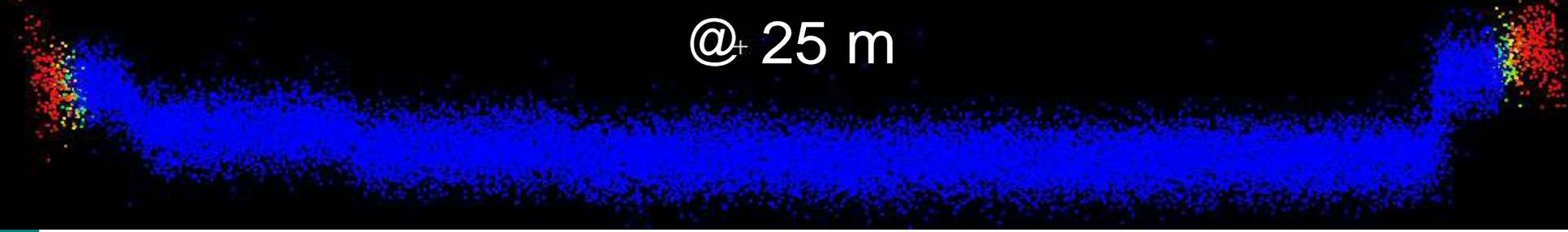
@ 75 m

A horizontal strip of LIDAR point cloud data at a 75m resolution. The points are very sparse, appearing as thin vertical lines. The ground surface is represented by a few scattered points, making the overall shape less distinct.

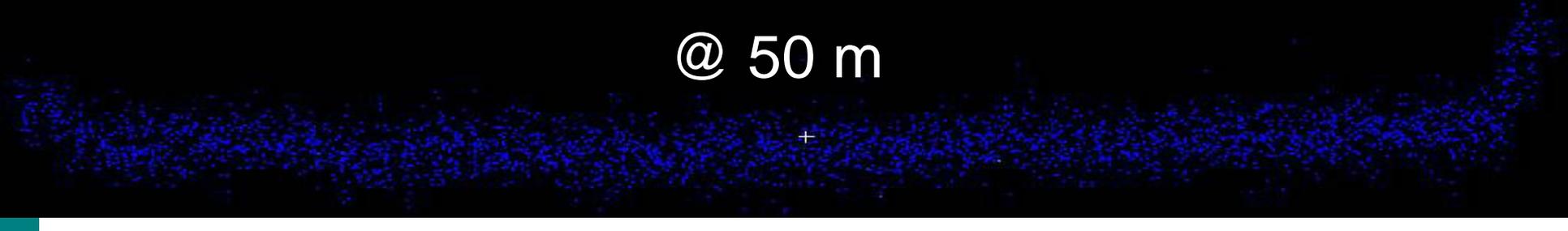
@ 100 m

A horizontal strip of LIDAR point cloud data at a 100m resolution. The points are extremely sparse, appearing as a few scattered points. The ground surface is barely visible as a thin line of points.

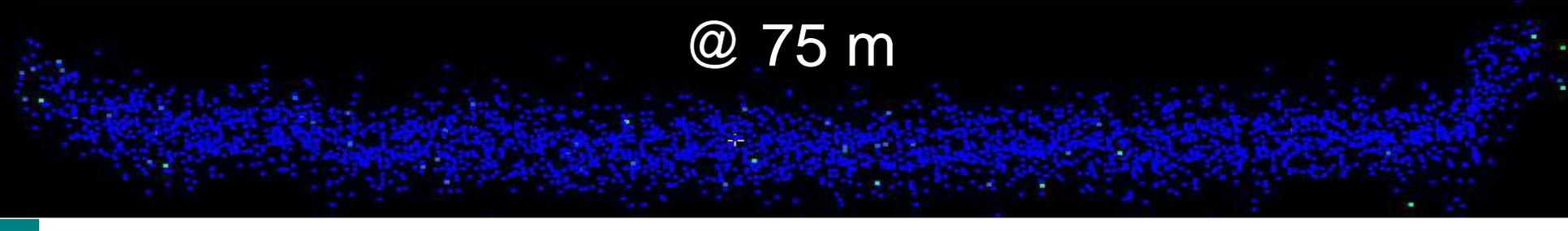
@ 25 m

 A LIDAR scan at a range of 25 meters. The scan shows a dense, horizontal band of blue points, indicating a clear and detailed view of the target surface.

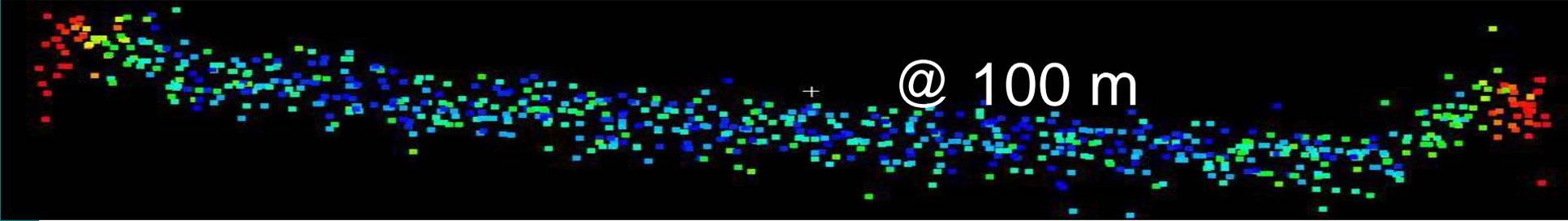
@ 50 m

 A LIDAR scan at a range of 50 meters. The scan shows a dense, horizontal band of blue points, indicating a clear and detailed view of the target surface.

@ 75 m

 A LIDAR scan at a range of 75 meters. The scan shows a dense, horizontal band of blue points, indicating a clear and detailed view of the target surface.

@ 100 m

 A LIDAR scan at a range of 100 meters. The scan shows a sparse, horizontal band of points in various colors (blue, green, red), indicating a less detailed view of the target surface.

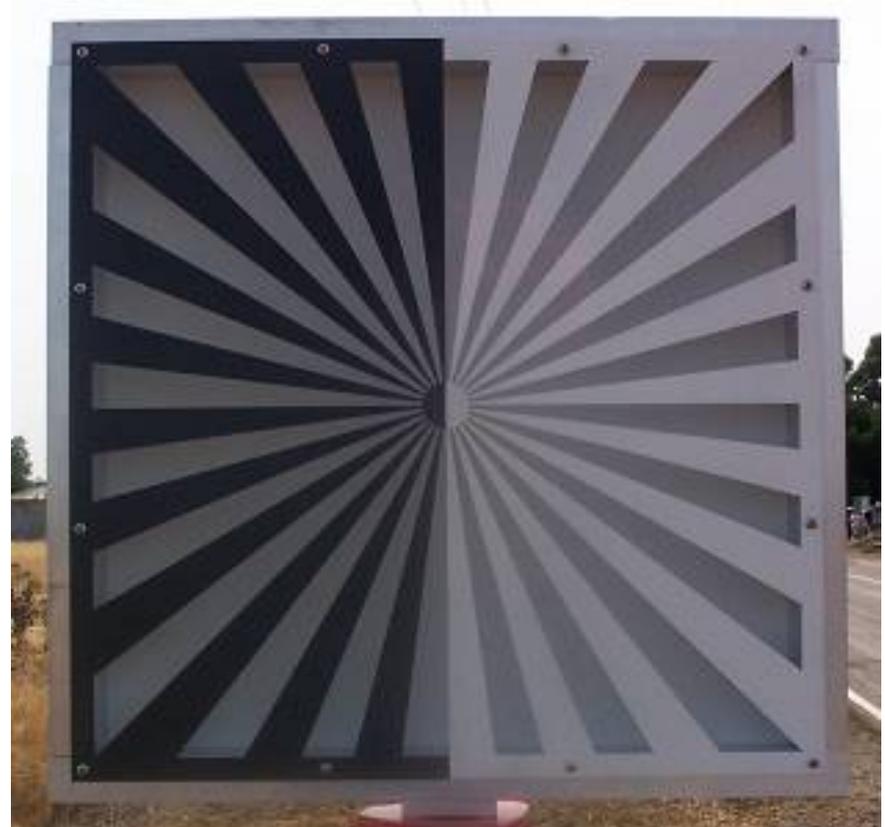
# Control Tests – Resolution

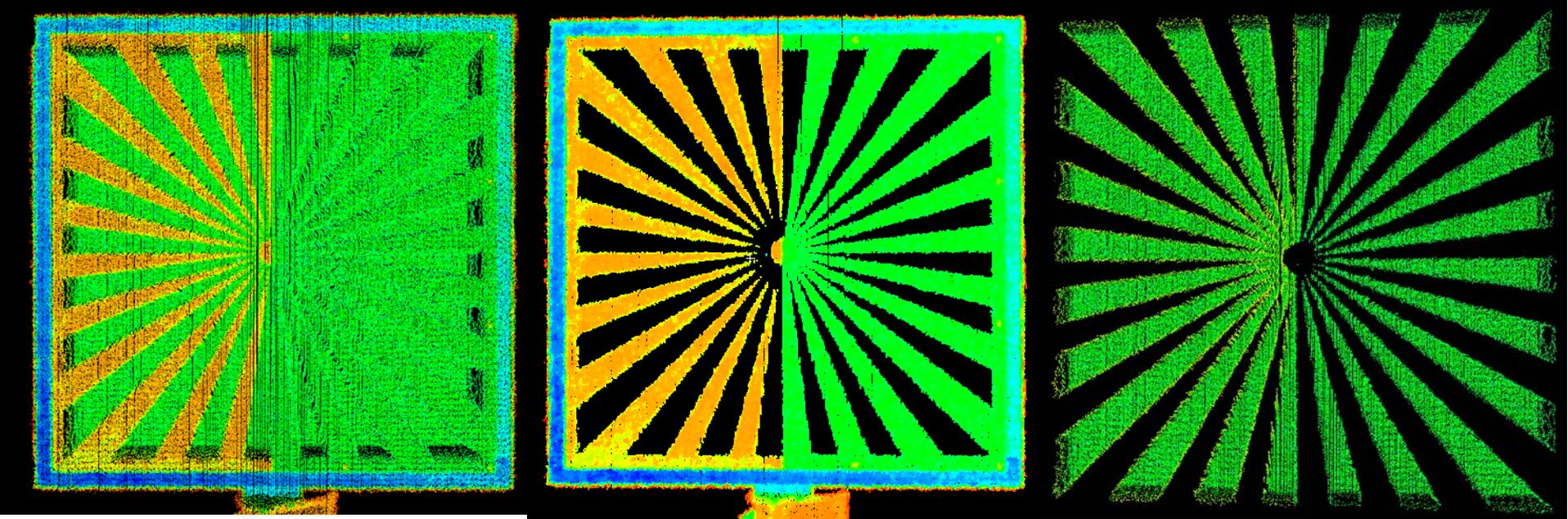
Test Fixture at 25, 50, 75, 100, and 120 m.

Measure resolution at selected ranges.

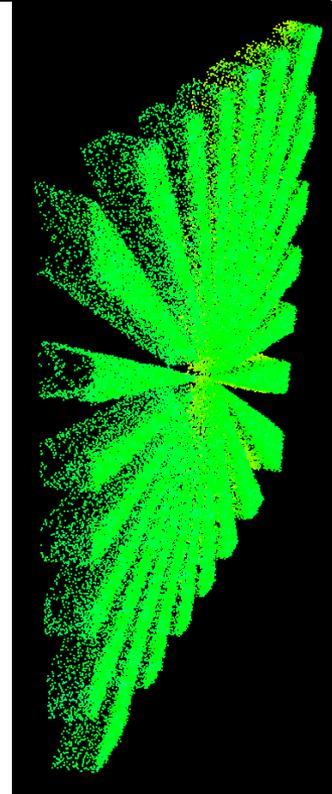
Two surface reflectivity levels

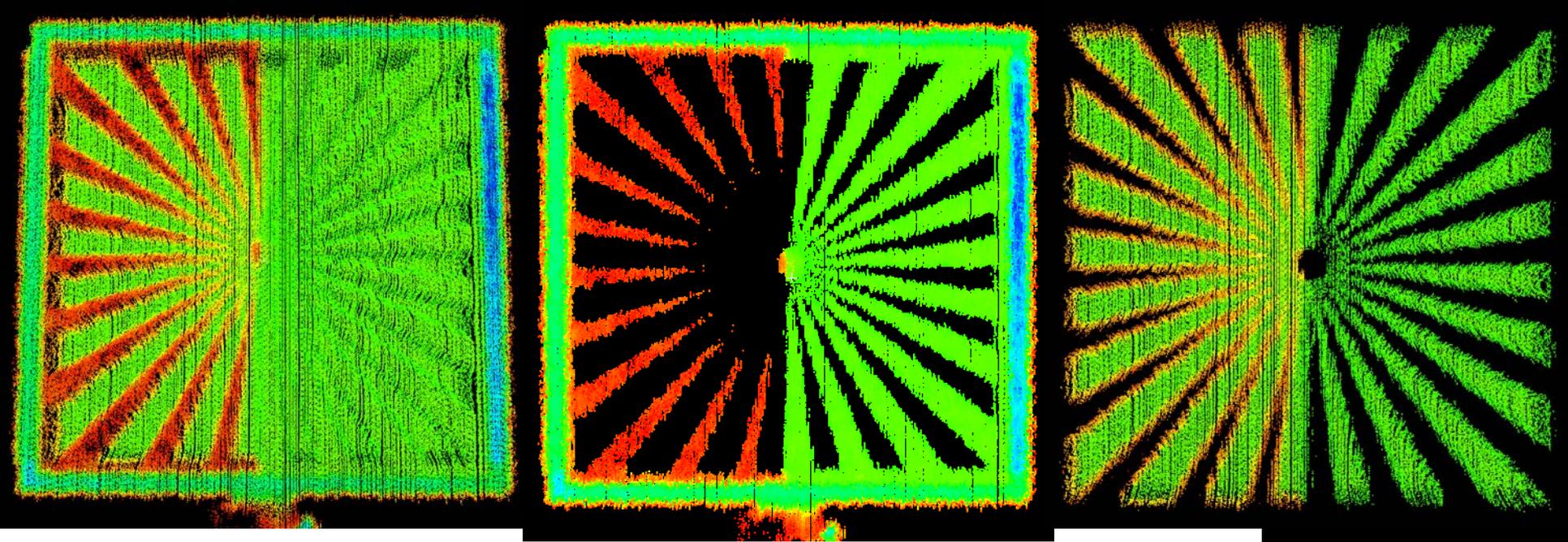
**Resolution  
Test Fixture**



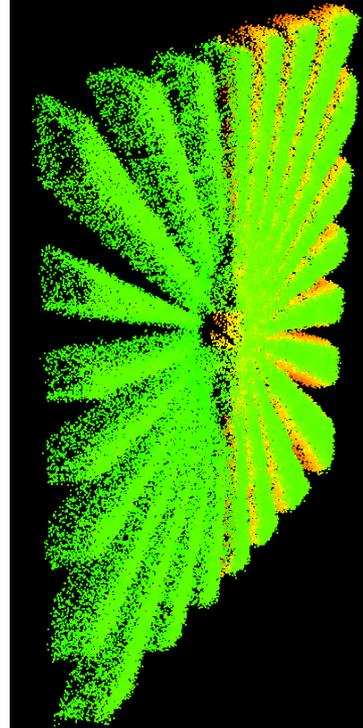


Leica, at 50 meters

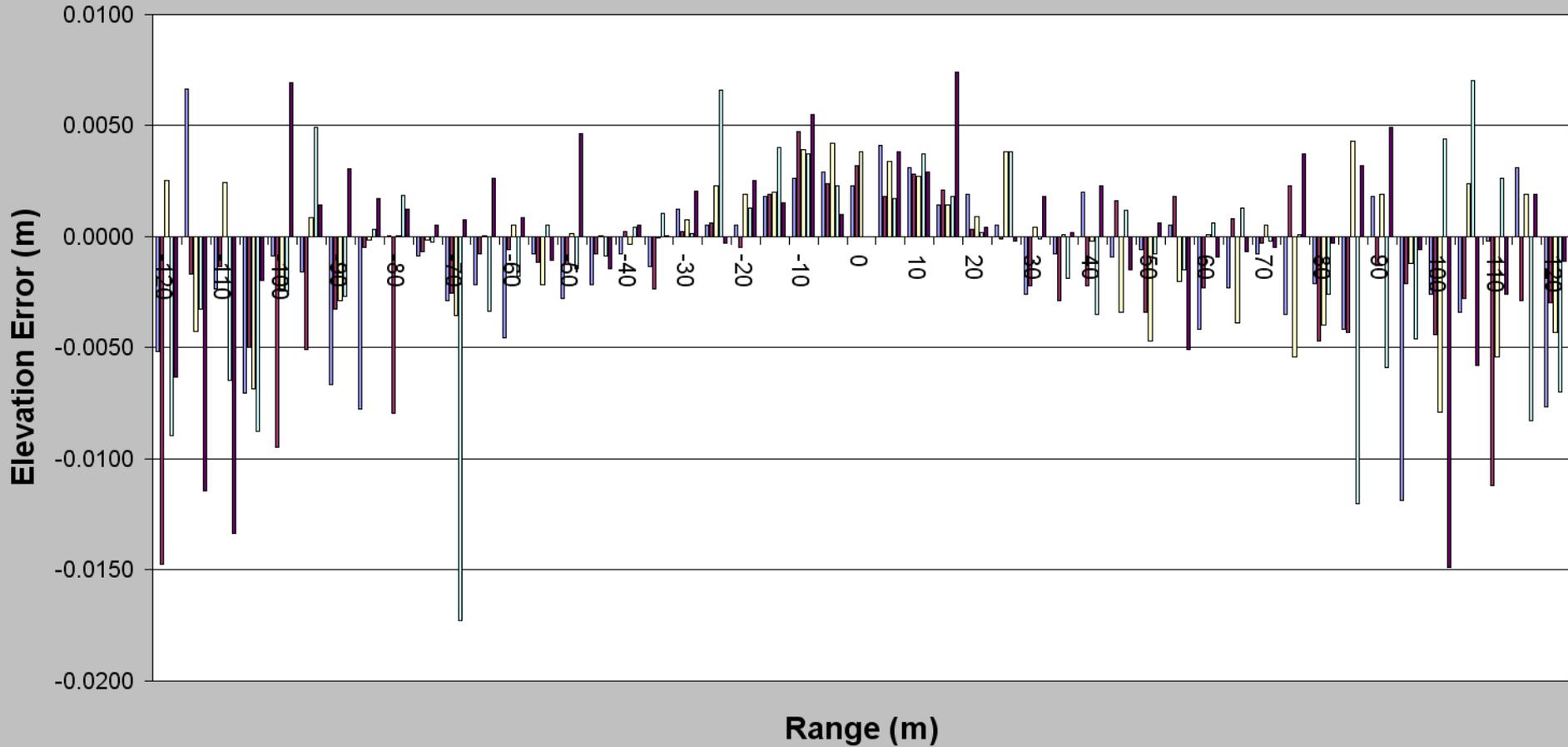




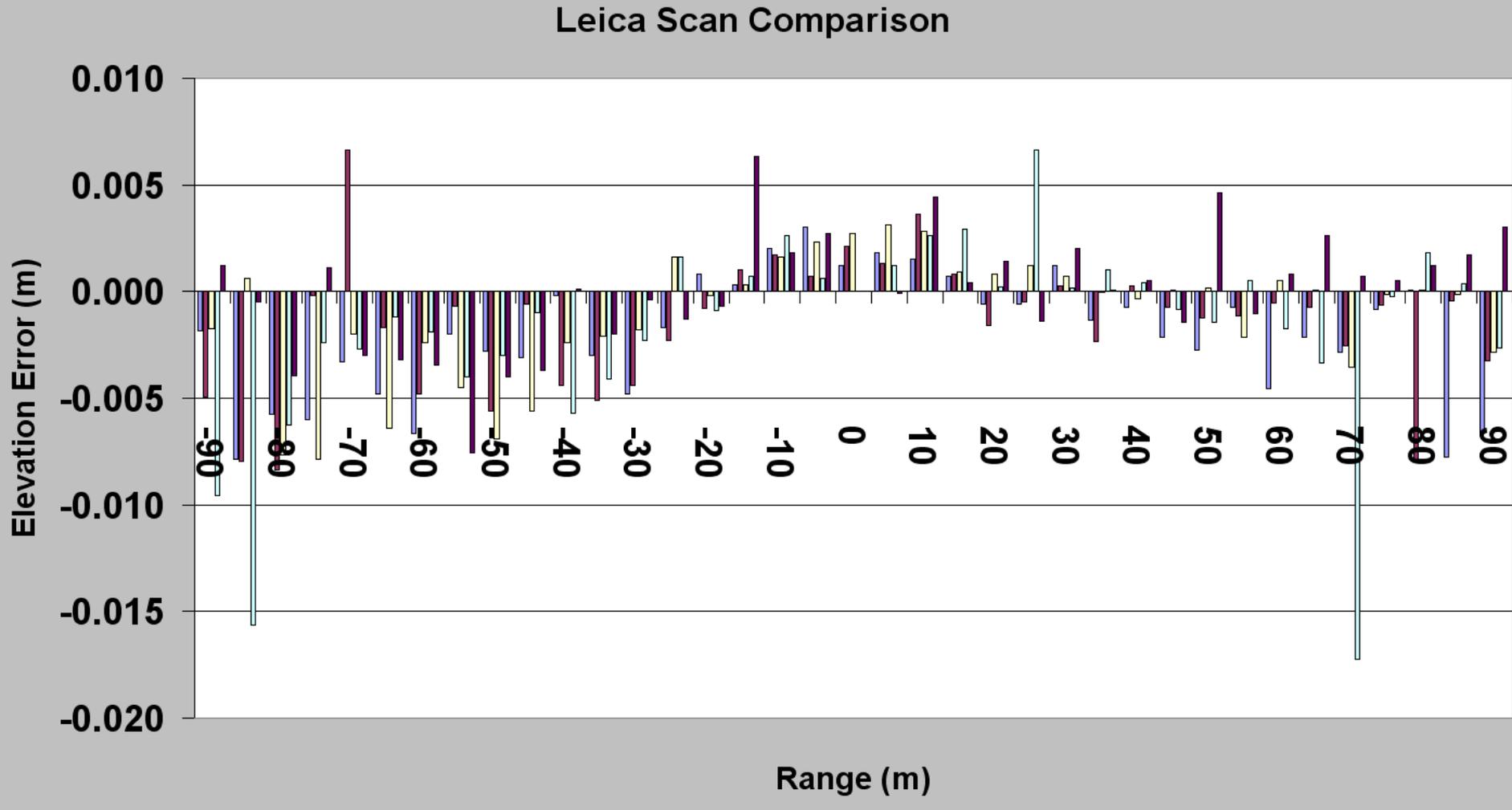
Leica, at 100 meters



### Leica Scan Comparison

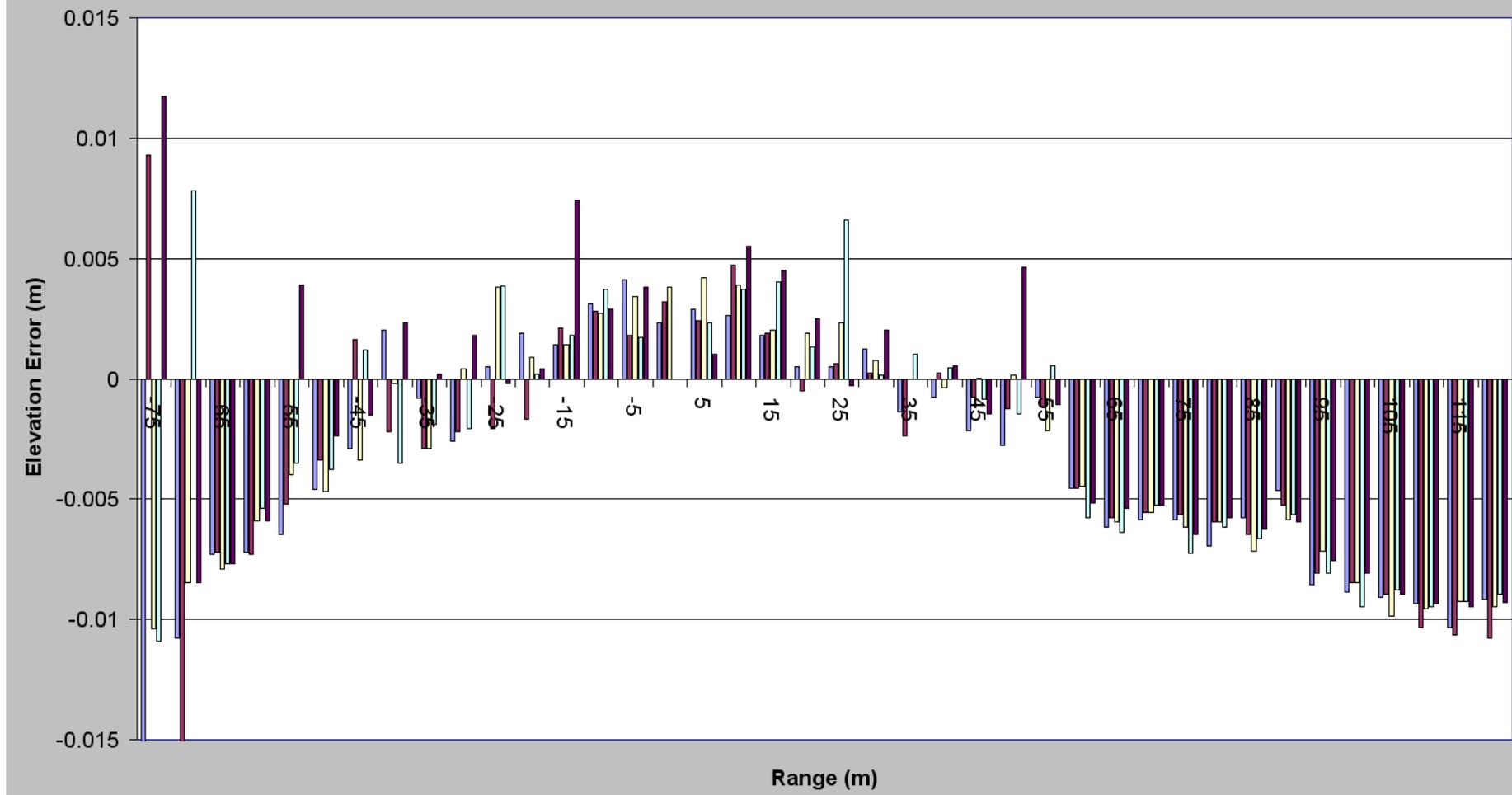


95% RMSE Elevation @ 90 m range = 7.2 mm



## 95% RMSE Elevation @ 90 m range = 7.0 mm

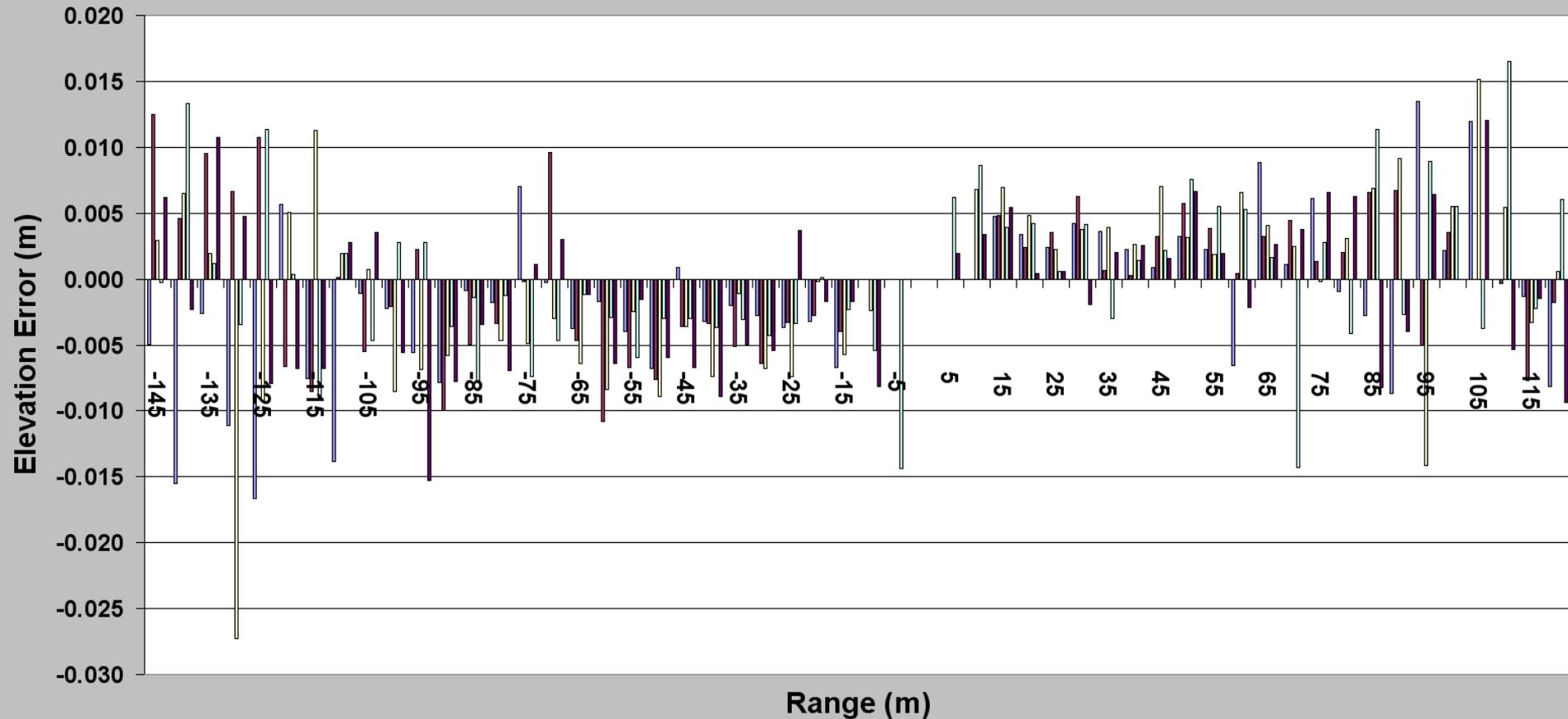
### Trimble Scan Comparison



## 95% RMSE Elevation @ 90 m range = 10.7 mm

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## Optech Scan Comparison



**95% RMSE Elevation @ 90 m range = 12.0 mm**

3D Scan of section of Highway 113 at Hutchison Rd



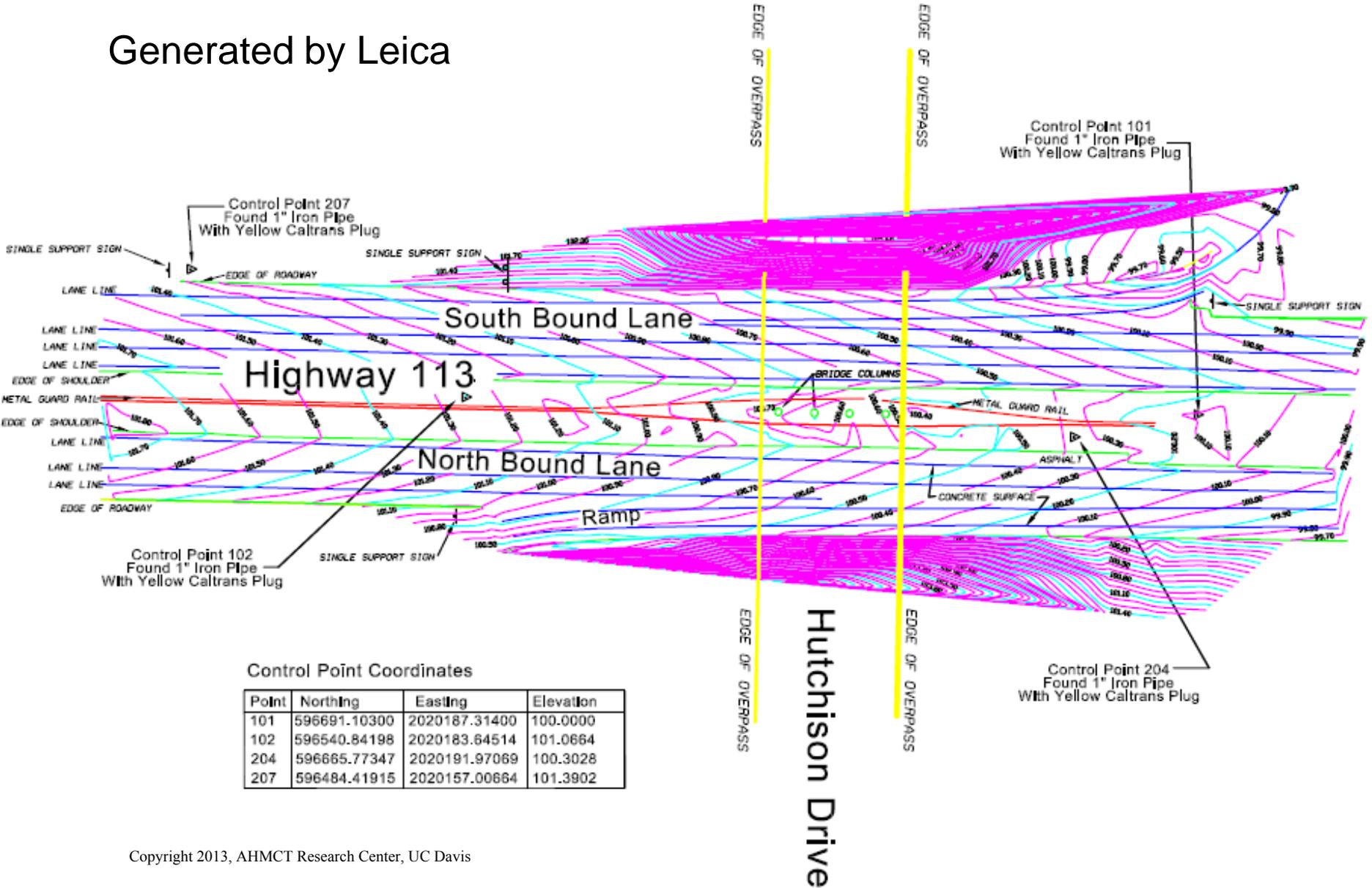
Pilot study goals:

- Evaluate pavement survey workflow
- Compare data measured by conventional means
- Provide realistic data set for software evaluation
- Combine two point clouds
- Collect a 360 degree scan from each scan location
- Generate a Digital Terrain Model (DTM),
- Generate contour lines depicting the low-lying areas

**Pilot Test Scan Area – Bridge with clover-leaf ramps and specific geo-reference points at 25 m, 50 m and 100 m on either side**

# Topographic map of State Highway 113 at Hutchison Drive

Generated by Leica



# Test Result Conclusions

- Scanner accuracy
- Scanner useful range
- Importance of accurate geo-referencing:
  - Methods
  - Redundant targets
  - Human error
- Compare to Total Station
  - With standard prism (2 mm + 2 ppm)
  - Reflectorless mode